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NOTICE OF ALLOWANCE AND FEE(S) DUE

20350

7590

04/07/2005

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 EXAMINER

VALENTIN, JUAN D

ART UNIT PAPER NUMBER

2877 DATE MAILED: 04/07/2005

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/696,738 | 10/28/2003 | Paul Yager | 128-02 | 6310 |

TITLE OF INVENTION: WAVELENGTH TUNABLE SURFACE PLASMON RESONANCE SENSOR

| APPLN. TYPE | APPLN. TYPE SMALL ENTITY | | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------------------|-------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$1000 | 07/07/2005 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

| | | | or <u>Fax</u> | (703) 746-4000 | giiiia 22313-1430 | | |
|---|---|--|---|--|--|--|--|
| INSTRUCTIONS: This for appropriate. All further con indicated unless corrected imaintenance fee notification | rm should be used for tran respondence including the below or directed otherwise is. | smitting the ISSU Patent, advance or in Block 1, by (a | | CATION FEE (if red of maintenance fees orrespondence addres | uired). Blocks 1 through 5 sl will be mailed to the current is; and/or (b) indicating a sepa | hould be completed where correspondence address as rate "FEE ADDRESS" for | |
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | |
| 20350 7590 04/07/2005 | | | | have its own certific | ate of mailing or transmission. | an or formal drawing, must | |
| TOWNSEND AN TWO EMBARCA EIGHTH FLOOR SAN FRANCISCO | | ND CREW, L | LP | I hereby certify that States Postal Service addressed to the M transmitted to the US | ertificate of Mailing or Trans this Fee(s) Transmittal is being with sufficient postage for fir ail Stop ISSUE FEE address PTO (703) 746-4000, on the d | mission g deposited with the United st class mail in an envelope above, or being facsimile late indicated below. | |
| SAN FRANCISCO | 7, CA 94111-3634 | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
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| 10/696,738 | 10/28/2003 | | Paul Yager | | 128-02 | 6310 | |
| APPLN. TYPE | SMALL ENTITY VES | ISSUE F | | UBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE 07/07/2005 | |
| nonprovisional | nprovisional YES | | · · · · · · · · · · · · · · · · · · · | \$300 | \$1000 | 07/07/2003 | |
| EXAM | IINER | ART UNIT C | | LASS-SUBCLASS | | | |
| VALENTI | N, JUAN D | 2877 | | 356-445000 | | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the names of or agents OR, alte (2) the name of a registered attorne 2 registered paten | For printing on the patent front page, list the names of up to 3 registered patent attorneys agents OR, alternatively, the name of a single firm (having as a member a gistered attorney or agent) and the names of up to registered patent attorneys or agents. If no name is ted, no name will be printed. | | | |
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| Please check the appropriate | e assignee category or catego | | | ☐ Individual ☐ | Corporation or other private gr | oup entity Government | |
| 4a. The following fee(s) are | enclosed: | 41 | 4b. Payment of Fee(s): | | | | |
| ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) | | | ☐ A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. | | | | |
| Advance Order - # of Copies | | | The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). | | | | |
| 5. Change in Entity Status | | | | | | | |
| | MALL ENTITY status. See is requested to apply the Issublication Fee (if required) ords of the United States Pat | | | | ALL ENTITY status. See 37 C usly paid issue fee to the application of the state of | Ψ/(/ | |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | Registration No. | | | |
| This collection of informatic an application. Confidential | on is required by 37 CFR 1.3 ity is governed by 35 U.S.C | 11. The information 122 and 37 CFR | on is required to obtai | n or retain a benefit b is estimated to take 1 | y the public which is to file (and 2 minutes to complete, including comments on the amount of ti | d by the USPTO to process) ng gathering, preparing, and | |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| | | | DATE MAIL ED: 04/07/2005 | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.